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|   |                        |   |
|---|------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No.    | 2204-031509   |
|   | First Inventor         | Manabu Oku  |
|   | Title                  | Ferritic Stainless Steel Sheet Having Good Workability and Manufacturing Method Thereof |
|   | Express Mail Label No. | EL 738800804 US   |

|  |   |
|--|---|
| <b>APPLICATION ELEMENTS</b><br><i>See MPEP chapter 600 concerning utility patent application contents.</i> | <b>ADDRESS TO:</b><br>Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria VA 22313-1450 |
|--|---|

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2. ☐ Applicant claims small entity status.  
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages 30]  
*(preferred arrangement set forth below)*
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 6]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
- i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (copy from parent application)
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Nonpublication Request under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Copy of Notice of Divisional Application

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/027,850

Prior application information: Examiner Yee, Deborah

Art Unit: 1742

For CONTINUATION or DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number: 28289 OR ☐ Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

|         |  |           |              |          |              |
|---------|--|-----------|--------------|----------|--------------|
| Name    | Russell D. Orkin                             |           |              |          |              |
|         | Webb Ziesenheim Logsdon Orkin & Hanson, P.C. |           |              |          |              |
| Address | 700 Koppers Building                         |           |              |          |              |
|         | 436 Seventh Avenue                           |           |              |          |              |
| City    | Pittsburgh                                   | State     | PA           | Zip Code | 15219        |
| Country | United States                                | Telephone | 412-471-8815 | Fax      | 412-471-4094 |

|                   |                         |                                   |                  |
|-------------------|-------------------------|-----------------------------------|------------------|
| Name (Print/Type) | Russell D. Orkin        | Registration No. (Attorney/Agent) | 25,363           |
| Signature         | <i>Russell D. Orkin</i> | Date                              | October 28, 2003 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

031088 U.S. PTO  
10/695185



102803

**PATENT APPLICATION TRANSMITTAL LETTER****MAIL STOP PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of: Manabu Oku, Yoshitomo Fujimura, Yoshiaki Hori, Toshirou Nagoya, Yasutoshi Kunitake and Takeo TomitaEntitled: "Ferritic Stainless Steel Sheet Having Good Workability and Manufacturing Method Thereof"**Small Entity Status:**☐ Small Entity Statement under 37 CFR 1.27 is enclosed☐ Small Entity Status is asserted for this application under 37 CFR 1.27

Enclosed are: ☒ 2 sheets of drawings  
☒ Declaration (copy from parent)  
☒ Assignment to Nisshin Steel Co., Ltd. (copy from parent)  
☒ Copy of Notification of Filing of Continuing or Divisional Application

**CLAIMS AS FILED**

|                                      | <u>No. Filed</u> | <u>No. Extra</u> | <u>Small Entity Rate</u> | <u>Non-Small Entity Rate</u> | <u>Charge</u>    |
|--------------------------------------|------------------|------------------|--------------------------|------------------------------|------------------|
| Total                                |                  |                  |                          |                              |                  |
| Claims <u>5</u> -20 = <u>0</u>       |                  |                  | x \$ 9.00                | x \$ 18.00                   | \$ <u>0</u>      |
| Indep. Claims <u>2</u> -3 = <u>0</u> |                  |                  | x \$ 43.00               | x \$ 86.00                   | \$ <u>0</u>      |
| Multiple Dependent Claim/s           |                  |                  | + \$145.00               | + \$290.00                   | \$ <u>0</u>      |
| Basic Fee                            |                  |                  | + \$385.00               | + \$770.00                   | \$ <u>770.00</u> |
|                                      |                  |                  | Total of above Charges   |                              | \$ <u>770.00</u> |
|                                      |                  |                  | Total Fee                |                              | \$ <u>770.00</u> |

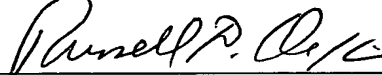
A check in the amount of \$770.00 is enclosed to cover the filing fee.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two copies of this sheet are enclosed.

October 28, 2003  
Date

By



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PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

Manabu OKU  
Yoshitomo FUJIMURA  
Yoshiaki HORI  
Toshirou NAGOYA  
Yasutoshi KUNITAKE and  
Takeo TOMITA  
ENTITLED

2204-031509

"Ferritic Stainless Steel Sheet Having Good Workability and Manufacturing Method  
Thereof"

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number EL 738800804 US

Date of Deposit October 28, 2003

I hereby certify that the following attached papers or fees

UTILITY PATENT APPLICATION TRANSMITTAL (1 p.);  
PATENT APPLICATION FEE TRANSMITTAL (1 p.-in trip.);  
SPECIFICATION (27 pp.);  
CLAIMS (2 pp.);  
ABSTRACT OF THE DISCLOSURE (1 p.);  
TWO (2) SHEETS OF DRAWINGS;  
DECLARATION AND POWER OF ATTORNEY (6 pp.-copy from parent);  
ASSIGNMENT (5 pp. – copy from parent);  
COPY OF NOTIFICATION OF FILING OF CONTINUING OR DIVISIONAL  
APPLICATION (1 p.);  
and Check for \$770.00 for fee

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Florence P. Trevethan

(Typed name of person mailing paper or fee)

*Florence P. Trevethan*  
(Signature of person mailing paper or fee)

PATENT APPLICATION  
Attorney's Docket No. 2204-012125

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Manabu Oku et al.

Serial No.: 10/027,850

Group Art Unit: 1742

Filing Date: December 21, 2001

Examiner: Yee, Deborah

Title: "Ferritic Stainless Steel Sheet Having Good Workability"

Mail Stop ISSUE FEE  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

NOTIFICATION OF FILING OF  
CONTINUING OR DIVISIONAL APPLICATION

Notification is hereby being made of the filing of a:

- ☐ Continuation
- ☐ Continuation-in-part
- ☒ Divisional

application for this case filed:

- ☐ concurrently herewith.
- ☒ on October 28, 2003  
(date)

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON  
ORKIN & HANSON, P.C.

Date: October 28, 2003

By

Russell D. Orkin

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 28, 2003.

Florence P. Trevethan

(Name of Person Mailing Paper)

Florence P. Trevethan

Signature

10/28/2003  
Date

{W0084194.1}

**COPY**